



PTO/SB/21 (09-04)  
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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/696,571

Filing Date 10/30/2003

First Named Inventor SALOMON, Felicia

Art Unit 3624

Examiner Name

Attorney Docket Number 64373/00003

### ENCLOSURES (Check all that apply)

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Blake Cassels & Graydon LLP		
Signature	<i>Louise Foong</i>		
Printed name	Louise Foong		
Date	July 10, 2006	Reg. No.	56,057

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT TRADEMARK OFFICE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Patent Application of: SALOMON, Felicia et. al  
Corresponding to U.S.  
Patent Application Serial 10/696,571  
No.:  
Filing Date: 10/30/2003  
Title: SYSTEM AND METHOD FOR EVALUATING  
REGULATORY COMPLIANCE FOR A COMPANY  
Art Unit:  
Atty's Docket No.: 64373/00003

The Commissioner of Patents and Trademarks  
Washington, D.C. 20331  
U.S.A.

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. 1.56, the applicant wishes to call the attention of the Examiner to the references contained in an Information Disclosure Statement by Applicant (Substitute for form 1449A/PTO) attached herewith. Copies of these references are enclosed in accordance with 37 CFR 1.98.

This Information Disclosure Statement is being filed before the mailing of a first Office action of this application, and is therefore deemed timely under 37 CFR 1.97(b)(3).

In the event that the enclosed Information Disclosure Statement is not received before mailing of an Office action on the merits, Applicant requests that the Office deduct the fee of \$180, as set forth in 37 C.F.R. § 1.17(p) from Account No. 02-2553 and that the enclosed references be considered by the Examiner.

According to the terms of 37 CFR 1.97 (g) and (h), this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The foregoing Information Disclosure Statement is based upon information contained in the undersigned agent's file of this application as of the filing date of this statement and is inclusive of the best information known to the undersigned at the date. Prompt consideration of this Information Disclosure Statement and the references by the Examiner is requested.

Should any Patent Office Official want to telephone, the call should be made to Louise Y. Foong (Registration No. 56,057) at (416) 863-5276.

Yours very truly,

A handwritten signature in black ink, appearing to read "Louise Foong", followed by a period.

July 10, 2006  
Date

Louise Y. Foong, Ph.D.  
Registration No. 56,057

**BLAKE, CASSELS & GRAYDON LLP**  
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*(use as many sheets as necessary)*

Sheet

1

of

1

## Application Number

10/696,571

Filing Date

10/30/2003

**First named Inventor**

**SALOMON, Felicia**

### Group Art Unit

3624

**Examiner Name**

Attorney Docket Number

64373/00003

[illegible][illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*U.S. Patent Application Serial No. 10/696,571*

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